

**This form may be used to either:**

- Liquidate non-retirement assets held at another financial institution and invest the proceeds into your Davis account. Unless the transfer will be made "in kind" this may be treated as a taxable event since the other financial institution will liquidate assets held in your account and transfer cash to Davis. **The completed form should then be sent directly to that firm.** Make checks payable to Davis Funds FBO (shareholder's name).

**For options 2 and 3, please return this form to Davis Funds, P.O. Box 8406, Boston, MA 02266-8406. For overnight mail: Davis Funds, 30 Dan Road, Canton, MA 02021.**

- Transfer in kind, non-retirement Davis Funds account held at another financial institution, to be held directly with the Davis Funds.
- Change of registration of a non-retirement Davis Funds account.

*Common examples of account registration changes include:*

- Individual registration to a joint form of ownership or vice versa.
- Individual or joint registration to a revocable family or living trust or vice versa.
- UGMA/UTMA to the former minor's name only.

**To transfer or rollover retirement assets, please complete the IRA Transfer of Assets form.**

**A. ACCOUNT REGISTRATION**

*Your Davis Account: If new, attach a completed application*

*Account Being Transferred—Attach a current statement*

Account Number \_\_\_\_\_

Account Number \_\_\_\_\_

Owner's Name/UGMA Custodian/Trustee \_\_\_\_\_

Name of Firm currently holding your account \_\_\_\_\_

Primary Social Security Number or Tax Identification Number \_\_\_\_\_

Firm Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Joint Owner's Name/UGMA Minor/Name of Trust \_\_\_\_\_

Phone Number of Firm \_\_\_\_\_

Daytime Phone Number \_\_\_\_\_

Owner's Name/UGMA Custodian/Trustee \_\_\_\_\_

Joint Owner's Name/UGMA Minor/Name of Trust \_\_\_\_\_

**Account Registration at Davis**

- Single
- Joint
- Trust
- Corporate/Business
- Custodial/UGMA/UTMA
- Other \_\_\_\_\_

**Account Registration being Transferred to Davis**

- Single
- Joint
- Trust
- Corporate/Business
- Custodial
- Other \_\_\_\_\_

**B. TRANSFER INSTRUCTIONS**

- Transfer from a Brokerage Account, a Mutual Fund Company, or a current Davis account.
  - Liquidate all assets in my account and send the proceeds to Davis.
  - Transfer the following assets "in kind" from my Brokerage account to my Davis account.
  - Transfer the following assets "in kind" from my current Davis account to a new Davis account. Please attach a new application.
  - Liquidate only a portion of my account, as detailed below (continue on a separate sheet if needed):

Description of Securities	# of Shares, \$ Amount or Percentage

**B. TRANSFER INSTRUCTIONS – Continued**

2. Bank, Savings & Loans, Life Insurance, Annuity or Credit Union Transfer

- Transfer cash only. All cash will be transferred unless you indicate a different amount to transfer here. \$ \_\_\_\_\_.
- Liquidate CD or annuity *immediately* and transfer cash. I acknowledge that I may incur a penalty for early liquidation.
- Liquidate CD or annuity and transfer cash. Maturity date is: \_\_\_\_\_.

Please submit your request at least three weeks prior to maturity.

**C. SPECIAL INSTRUCTIONS - OPTIONAL**

**D. AUTHORIZATION TO TRANSFER/LIQUIDATE**

All shareholders listed on the current account registration must complete this section. By signing this Account Transfer Form, I certify that 1) I understand that it is my responsibility to read the current prospectus for the Davis Fund in which I choose to invest; 2) I allow Davis Funds to accept any instructions, including telephone and automated services given on this account; I agree to release Davis Funds, State Street Bank & Trust, the transfer agent, their affiliates and agents from all liability and will indemnify them for any losses, damages or costs (including reasonable attorney's fees) or expenses for acting upon instructions if they follow reasonable procedures designed to prevent unauthorized transactions. 3) If a trustee, executor, administrator, guardian, committee, custodian, agent or attorney makes the endorsement in fact, the endorser must sign in his or her capacity following the signature. 4) I understand that some transfers require a medallion guarantee and will not be executed until all shareholders have their original signatures medallion guaranteed by an eligible guarantor.

\_\_\_\_\_  
Signature of Owner/UGMA Custodian/Trustee

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Owner/UGMA Custodian/Trustee

\_\_\_\_\_  
Date

Place Medallion Guarantee Above

Place Medallion Guarantee Above

\*Please contact the appropriate party to see if a Medallion Guarantee is required.